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REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Address to:
Commissioner for Patents
Box RCE
Washington, DC 20231

Application Number	09/745,959
Filing Date	December 21, 2000
First Named Inventor	Mareike Katharine Klee
Art Unit	2817
Examiner Name	Takaoka, Dean O.
Attorney Docket Number	PHD99,195

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. Submission required under 37 CFR 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- iii. ☐ Other _____
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other _____

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months. Fee under 37 CFR 1.17(i) required)
- b. ☐ Other _____

3. Fees

The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 14-1270
- i. ☒ RCE fee required under 37 CFR 1.17(e)
- ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☐ Other _____

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- b. ☐ Check in the amount of \$ _____ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Jeffrey V. Kaplan	Registration No. (Attorney/Agent)	34,356
Signature		Date	May 20, 2003

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	Fern Pekarofski	Date	May 20, 2003
Signature			

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#16/Amold
4/4/03
C. Moore

Applicants : Mareike Katharine Klee, et al.
Title of Invention : FILTER ARRANGEMENT
Filed : December 21, 2000
Serial No. : 09/745,959
Group Art Unit : 2817
Examiner : TAKAOKA, Dean O.
Docket No. : PHD 99,195

Mail Stop RCE
Commissioner for Patents
Alexandria, VA 22313-1450

AMENDMENT

SIR:

This RCE is responsive to the final Office Action dated February 21, 2002, in connection with the above-referenced patent application.

IN THE CLAIMS:

Applicants have further amended independent claims 1 and 8 as shown in the accompanying marked-up version. Below is a clean version of all the claims with their status.

1. (Fourth Time Amended) A filter arrangement which comprises a substrate
(1) on which are provided a bandpass filter and a notch filter, which filters are coupled to one another, wherein both the bandpass filter and the notch filter are thin-film filters, and the notch filter does not generate a passband.
2. (Original) A filter arrangement as claimed in claim 1, characterized in that the